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Number

DECLARATION FOR UTILITY OR

DESIGN

Attorney Docket

First Named Inventor

DES	SIGN	i	rirst Named Inventor	RICHARD E. SMAL	LEY ET AL.				
PATENT AI		ION	COMPLETE IF KNOWN						
(3 <i>1</i> CF	R 1.63)	7	Application Number	10/575,352					
Declaration Submitted OR		aration mitted after Initial	Filing Date	OCTOBER 14, 2004	4				
With Initial Filing	Filing	g (surcharge CFR 1.16 (e))	Art Unit	UNKNOWN					
		equired) Examiner Name UNKNOWN							
I hereby declare that:				Canada Ca					
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.									
I believe the inventor(s) name which a patent is sought on the	d below to be	e the original and first in	nventor(s) of the subje	ct matter which is cla	imed and for				
AMPLIFICATION OF			IA SEEDED-GR	OWTH METHO	DS				
		(Title of the I	nvention)		et and an				
the specification of which		·	,						
is attached hereto									
OR									
was filed on (MM/DD/Y	YYY)	October 14, 2004	as United States Ap	oplication Number or	PCT International				
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I hereby state that I have reviewamended by any amendment s	wed and und specifically re	derstand the contents on the contents of the c	of the above identified	specification, includin	g the claims, as				
I acknowledge the duty to discontinuation-in-part application	ns, material i	information which beca	ame available betweer	defined in 37 CFR 1	1.56, including for e prior application				
and the national or PCT intern									
I hereby claim foreign priority inventor's or plant breeder's ri	denetits un ghts certifica	ider 35 U.S.C. 119(a)- ate(s), or 365(a) of any	(d) or (t), or 365(b) o PCT international app	t any toreign applica olication which design	ition(s) for patent, I nated at least one				
country other than the United	States of Am	nerica, listed below and	I have also identified b	elow, by checking the	e box, any foreign				
application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.									
Prior Foreign Application		Foreign Filing	Date Prior	ity Certified	Copy Attached?				
Number(s)	Country	(MM/DD/YYY	Y) Not Cla	imed YES	NO				
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N/A				」 					
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Additional foreign app	olication num	bers are listed on a su	pplemental priority dat	a sheet PTO/SB/02B	attached hereto.				

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: The address associated with associated with associated with Customer Number:						
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Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that me contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit can umbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required it the USPTO, petitioners/applicants should consider redacting such personal information is included in documents submitted the USPTO. Petitioners/applicants is advised that the record of a patent application is available to the public aft publication of the application (unless a non-publication request in compliance with 37 CFR 1.3(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public of its application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit ca authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are in publicy available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on informatic and belief are believed to be true; and further that these statements were made with the knowledge that willful fait statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willfalse statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Residence: City State Country TX Ball Decomptor Country TX Tymo5 Light Country Tymo5	Address					
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that me contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit can umbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required it the USPTO, petitioners/applicants should consider redacting such personal information is included in documents submitted the USPTO. Petitioners/applicants is advised that the record of a patent application is available to the public aft publication of the application (unless a non-publication request in compliance with 37 CFR 1.3(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public of its application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit ca authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are in publicy available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on informatic and belief are believed to be true; and further that these statements were made with the knowledge that willful fait statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willfalse statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Residence: City State Country TX Ball Decomptor Country TX Tymo5 Light Country Tymo5						
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Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that montribute to identify theft. Personal information such as social security numbers, bank account numbers, or credit can numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required the USPTO to support a petition or an application. If this type of personal information is included in documents submitted the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public aff publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit can authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on informatic and belief are believed to be true; and further that these statements were made with the knowledge that williful fals statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willifulses statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name (first and middle [if any]) Family Name or Surname Richard E.	Country	'	elepnone		Email	
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Given Name (first and middle [if any]) Richard E. Inventor's Signature Residence: City Houston Mailing Address 1816 Bolsover Street State City Houston TX State TX State TX State TX TX TX TX TX TX TX TX TX T	contribute to identity theft. In numbers (other than a check the USPTO to support a petit the USPTO, petitioners/applic them to the USPTO. Petitio publication of the application or issuance of a patent. Fur application is referenced in authorization forms PTO-203 publicly available. I hereby declare that all state and belief are believed to be statements and the like so many contents.	Personal information or credit card authorition or an application and should consider anterior should consider and should consider and should consider and should be should be submitted for payor and further and further and further and further and are punishable	on such as social prization form PTO on. If this type of ler redacting such livised that the recation request in cord from an aband cation or an issu yment purposes and of my own known of my own known that these states by fine or imprison	security numbers, -2038 submitted for personal information personal information cord of a patent appropriation may be application may be application of a patent (see 37 re not retained in the ledge are true and the application may be application of a patent (see 37 re not retained in the ledge are true and the application of a patent were made and the patent of the ledge are true and the ledge are true are t	bank account payment purport is included in in from the docu- plication is available. FR 1.213(a) is ay also be available. CFR 1.14). e application for that all statemes with the kno- er 18 U.S.C. 1	numbers, or credit card bases) is never required by documents submitted to uments before submitting allable to the public after a made in the application) illable to the public if the Checks and credit card file and therefore are not ents made on information by whedge that willful false
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Richard E. Inventor's Signature Residence: City Houston Mailing Address 1816 Bolsover Street Smalley, Deceased Date Country US Citizatiship US Country US			Ар			
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I V Additional investors are lead assessed to a state of the A	Additional inventors or a legal of			1		

SUPPLEMENTAL DECLARATION UNDER 37 C.F.R. § 1.42 FOR SIGNING BY LEGAL REPRESENTATIVE ON

BEHALF OF DECEASED INVENTOR

I, Irene M. Marek, hereby declare that I am a citizen of the United States, residing

at 3 Stagestop Circle, Houston, Texas 77024. I further declare that I have been appointed

as a legal representative of Richard E. Smalley, who passed away on October 28, 2005,

as I am named as executrix in his will. Upon information and belief, I aver those facts

that he is required to state in order to apply for a patent or allowance of any patent

application in which he is listed as an inventor. Further, it is my understanding that this

patent application in which Richard E. Smalley is listed as an inventor is to be assigned

to Rice University, his employer since 1976, pursuant to the Rice University Policy.

I hereby declare that all statements made herein of my own knowledge are true

and that all statements made on information and belief are believed to be true, and further

that these statements were made with the knowledge that willful false statements and the

like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of

the United States Code and that such willful false statements may jeopardize the validity

of the application or any patent issued thereon.

Date: 8/10/07

Irene M. Marek

Legal Representative of Richard E. Smalley

Houston 1\924196\1

11321-P077WOUS 7/24/2007

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Office of Properties of Pro ADDITIONAL INVENTOR(S) **DECLARATION** Supplemental Sheet Page 3 Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Sumame Robert H. Hauga toventor's Signature Houston 115 Residence: City US Country Citizenship 4031 Tumberry Circle Mailing Address Houston TΧ City 77025 US State Zlp Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Sumame Andrew &. Barron Inventor's Signature Houston TΧ US Residence: City GB State Country 241 Asbury Cltizenship Mailing Address Houston TΧ 77007 City US Country Name of Additional Joint Inventor, If any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname James M. Tour Inventor's Signature Date TX บร Residence: City us State Country Citizenship 4625 Spruce Street Mailing Address

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DECLARATION			Supplemental Sheet Page 4 of 5				
Name of Additional Joint Inventor	; If any:	Ar	petition has been filed fo	r this unsigned inventor			
Given Name (first and middle		Family Name or Sumame					
Howard K.		Schmidt					
Inventor's Signature Successful Control	ut,			Date 2/7/07			
Cypress Residence: City	TX State		US Country	us Citizenship			
20792-Bradford Creek Court 20703 Mailing Address							
Cypress City	TX State.		77433 Zip	US Country			
Name of Additional Joint Inventor	, if any:	☐ Ap	etition has been filed for	r this unsigned inventor			
Given Name (first and middle	Family Name or Sumame						
W. Edward	Billups						
Inventor's W. Edward	Billy			12/20/06 Date			
Houston	τx		us	us			
Residence: City	State		Country	Citizenshlp			
6416 Rutgers Mailing Address							
Houston	TX		77005	US			
City	State		Zip	Country			
Name of Additional Joint Inventor	if any:	Ap	elition has been filed for	this unsigned inventor			
Given Name (first and middle	(if any))	Family Name or Surname					
Christopher A.		Dyke		,			
inventor's Signature				Date			
Humble Residence: City	TX State		US Country	US Citizenship			
19611 Spoonwood Drive							
Mailing Address				0			
Humble City	TX State		77346 Zip	US Country			

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DECLARA.	TION	Approved for use through 01/31/2007. OMB 0851-00. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC red to respond to a collection of information unless it contains a valid OMB control number ADDITIONAL INVENTOR(S) Supplemental Sheet						
			Page 5 of 6					
Name of Additional Joint Inver	itor, if any:	A petition has been find to	- 41.					
Given Name (first and mi	iddle (if any))	A petition has been filed fo	r this unsigned inventor					
Valerie C.		Family Name or Sumame						
Inventor's Signature	m	incole	- 2					
Houston								
Residence: City	TX	us	US					
2255 Braeswood Park Dr., No. 139	State	Country	Citizenship					
Mailing Address	TX							
City	State	77030	US					
Name of Additional Joint Invent	or it one	Zip	Country					
		A petition has been filed for	this unsigned inventor					
Given Name (first and mid	dle (if any))	1						
Elizabeth			e or Sumame					
Inventor's		Whitsitt						
Signature								
Houston			Date					
Residence: City	TX	us	us					
06 LaMonte Lane	State	Country	Citizenship					
Mailing Address								
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Houston City Name of Additional Joint Invento Given Name (first and middle solin A. Inventor's Ignature suston esidence: City Of Brompton Road, No. 172 ailing Address	e (if any))	Zip A petition has been filed for the Family Name of Anderson	Date					
Vame of Additional Joint Invento Given Name (first and middle polin A. Iventor's Ignature Juston Esidence: City B Brompton Road, No. 172	e (if any))	Zip A petition has been filed for the Family Name of Anderson	Date US					

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ADDITIONAL INVENTORS

DECLARATION			Supplamental Sheet					
				Page 5	of 6			
Name of Additional Joint Invento		A	A petition has been filed for this unsigned inventor					
Given Name (first and middl	e (if any))	Family N	Family Name or Sumame					
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Inventor's Signature				Date				
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Name of Additional Joint Inventor	, if any:	☐ Ap		or this unsigned inventor				
Given Name (first and middle	(if any))		Family Name or Sumame					
Elizabeth		Whitsltt						
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806 LaMonte Lane Mailing Address				T OLICONOMI	<u>. </u>			
Houston	TX	· · · · · · · · · · · · · · · · · · ·	1					
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Name of Additional Joint Inventor,	if any:	A pe	tition has been filed for	this unsigned inventor				
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Robin A.		Anderson		7				
inventor's Signature				Data				
louston	TX		US	Date				
Residence: City	State		Country	US Citizenship	,			
490 Brompton Road, No. 172 Mailing Address		:						
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ADDITIONAL INVENTOR(S)

Supplemental Share

DECLARATION		Supplemental Sheet Page 5 of 6						
Name of Additional Joint Inventor, if	any:	A pelition has been filed for this unsigned inventor						
Given Name (first and middle (if a		Family Name or Surname						
Valerie C.	-	Moore						
Inventor's Signature				Date	Makes Art Colonia and Article Art Colonia and Colonia			
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Name of Additional Joint Inventor, if a	iny:	☐ A pet	ition has been filed for	this unsigned	inventor			
Given Name (first and middle (if an	Family Name or Sumame							
Elizabeth		Whitsit						
Inventor's Signature			44.4	Date				
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Mailing Address								
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City	State		Zip	Count	ry			
Name of Additional Joint Inventor, if a	ny:	A pet	tion has been filed for t	this unsigned	inventor			
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Robin / E.	*************************************	Anderson						
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Name of Additional Joint Inventor, if a	ny: .	A pe	tition i	has been filed for this	unsigned	inventor	•	
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Inventor's Signature	/.					Date 4/15/07		
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Given Name (first and middle (If a			Family Nar			unoigi	ica mvento	1
Ramon			Colorado, Jr.	.10 01	Cultaine			
Inventor's	· · · · · · · · · · · · · · · · · · ·							
Signature						D0	4	
Houston	TX					Da	re-	······································
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Given Name (first and middle (if an	у))	ļ			Family Name o	r Suman	10	
Michael P.			Stewart		, , , , , , , , , ,	Odinan		
Inventor's Signature	Ste		J			-	0.	
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Michael P.			Stewart				
Inventor's Signature						Date	
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Name of Additional Joint Inventor, if any	<i>/</i> :		A petition	has been filed for			
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Douglas C.			Ogrin				
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